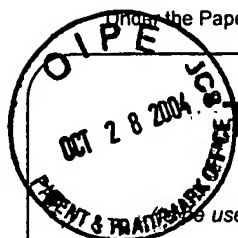


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# TRANSMITTAL FORM

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		Application Number	10/762,733
		Filing Date	January 22, 2004
		First Named Inventor	Lee A. Horton
		Group Art Unit	
		Examiner Name	
Total Number of Pages in this Submission	2	Attorney Docket Number	18216-002001

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 50px; width: 100%;"></div>
<div style="border: 1px solid black; padding: 5px;">Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	FISH & RICHARDSON P.C.
Signature	
Date	October 26, 2004

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Name (Print/Type)	Timothy A. French		
Signature		Date	October 26, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lee A. Horton  
Serial No. : 10/762,733  
Filed : January 22, 2004  
Title : MULTI-SHANK RIPPER

Art Unit : Unknown  
Examiner : Unknown

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

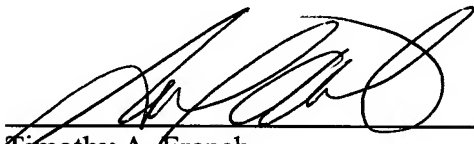
INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 26, 2004

  
\_\_\_\_\_  
Timothy A. French  
Reg. No. 30,175

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225 Franklin Street  
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Timothy A. French  
Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Rev. 10-1-03) <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) 37 CFR § 1.901(b) TRADEMARK	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>18216-002001</b>	Application No. <b>10/762,733</b>
	Applicant <b>Lee A. Horton</b>			
	Filing Date <b>January 22, 2004</b>		Group Art Unit	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	"Couplers and Attachments for Construction Equipment"; <u>DigNRipBucket</u> ; Leading Edge Attachments, Inc.; 2002-2004.
	AR	"Wain Roy <sup>TM</sup> Ripper Bucket <sup>TM</sup> "; Woods Equipment Company; 1998.
	AS	"Wain-Roy <sup>®</sup> Ripper Bucket <sup>TM</sup> For Severe Digging; Wain Roy <sup>®</sup> Inc.
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	